Docket No: AM101007 Application No: 10/828,474

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of: ZHU et al. Application No.: 10/828,474 Group Art No.: 1612

Filed: Examiner: April 20, 2004 Benjamin J. Packard

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Confirmation No.: 7099 Customer Number: 25291

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INFORMATION DISCLOSURE STATEMENT

1 D	12 2	Statements

under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p). e. is filed after the mailing date of a final action or Notice of Allowance but befrequence of the issue fee. This statement includes (i) a certification under 37 (i) 1.97(e), and (ii) the fee set forth in 37 CFR 1.17(p). 3. Certification under 37 CFR 1.97(e) and 1.704(d) The undersigned attorney certifies a. that each item of information contained in the Information Disclosure Statem first cited in any communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement, or		other of thi CFR (i) a (iii) a inform	inforn s appli 1.56. ' search additio nation	ce with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or nation of which they are aware, which they believe may be material to the examination cation and in respect of which there may be a duty to disclose in accordance with 37 This Information Disclosure Statement is not to be construed as a representation that: has been made; (ii) the information is material to the examination of this application; nal information material to the examination of this application does not exist; (iv) the protocols, results and the like reported by third parties are accurate or enabling; or (v. ion constitutes prior art to the subject invention.	
a. is filed within three months of the filing date of the application. b. is filed before the mailing date of a first Office Action on the merits. c. is filed before the mailing date of a first Office Action after the filing of a req continued examination under 37 CFR 1.114. d. d. is filed after the period specified in 2(a), 2(b) or 2(c) above, but before the mx date of a final action under 37 CFR 1.311. This statement includes a certification under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p). c. is filed after the mailing date of a final action or Notice of Allowance but before the mx date of a final action or Notice of Allowance but before the mx date of	2.				
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c. is filed before the mailing date of a first Office Action after the filing of a req continued examination under 37 CFR 1.114. d. is filed after the period specified in 2(a), 2(b) or 2(c) above, but before the ms date of a final action under 37 CFR 1.311. This statement includes a certifica under 37 CFR 1.97 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p). e. is filed after the mailing date of a final action or Notice of Allowance but bef payment of the issue fee. This statement includes (i) a certification under 37 (1.97(e), and (ii) the fee set forth in 37 CFR 1.17(p). 3. Certification under 37 CFR 1.97(e) and 1.704(d) The undersigned attorney certifies a. that each item of information contained in the Information Disclosure Statement includes (i) a certification under 37 cFR 1.97(e) and 1.704(d) the undersigned attorney certifies b. that to item of information contained in the Information Disclosure Statement, or that no item of information ocntained in the Information Disclosure Statement in the Uniformation Disclosure Statement in			Ä		
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d. is filed after the period specified in 2(a), 2(b) or 2(c) above, but before the madate of a final action under 37 CFR 1.311. This statement includes a certificate under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p). e. is filed after the mailing date of a final action or Notice of Allowance but before payment of the issue fee. This statement includes (i) a certification under 37 to 1.97(e), and (ii) the fee set forth in 37 CFR 1.17(p). 3. Certification under 37 CFR 1.97(e) and 1.704(d) The undersigned attorney certifies a. that each item of information contained in the Information Disclosure Statement, or application not more than three months prior to the filing of the statement, or that no item of information contained in the Information Disclosure Statement.		C.	ш		
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	appli c.	cation and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement. certifies that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty (30) days prior to the filing of the				
		statement.				
4.		Newly Cited Information A legible copy of the patents, publications or other information cited on the attached form PTO 1449 is enclosed, except that no copy of a pending U.S. application is enclosed, and if this patent application was filed or entered the national stage after June 30, 2003, no copy of a U.S. patent or U.S. patent application publication is enclosed.				
5.		Previously Cited Information No copy of the patents, publications or other information cited on the attached form PTO- 1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120. Prior application is Application Number, filed on of for.				
6.		Concise Explanation				
	a. b.	Documents cited above which are not in the English Language have been explained in the specification. have an abstract (or other concise explanation) in English enclosed or if readily available a translation into English of the document is enclosed.				
7.		Fees Fee for filing under 37 CFR 1.97(c) or (d) Fee: \$180.00				
	Method of Payment of Fees: Charge Deposit Account No. 01-1425 in the amount of \$0.00					
	Instructions as to Overpayment/Underpayment: Credit any overpayment and charge any underpayment to Deposit Account No. 01-1425.					
Date: October Date: Thomas C. McKenzie, Ph.D. Attorney/Agent for Applicants ()						
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